



**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.  
See the American Inventors Protection Act of 1999 (AIPA).

|                        |                  |
|------------------------|------------------|
| Application Number     | 09/817,056       |
| Filing Date            | March 27, 2001   |
| First Named Inventor   | Kai YANG, et al. |
| Group Art Unit         | 2811             |
| Examiner Name          | T, NGUYEN        |
| Attorney Docket Number | 50432-067        |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

- a. ☐ Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

3. **Fees**

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 500417.
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) **\$770.00**
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☒ Other (additional claims, etc.) **\$140.00**
- b. ☐ Check in the amount of \$\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                   |                         |                                   |         |
|-------------------|-------------------------|-----------------------------------|---------|
| Name (Print/Type) | Arthur J. Steiner, Esq. | Registration No. (Attorney/Agent) | 26,106  |
| Signature         |                         | Date                              | 9/13/04 |

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

|                  |  |
|------------------|--|
| Name(Print/Type) |  |
| Signature        |  |
| Date             |  |

09/15/2004 EAREGAY1 00000117 500417 09817056

01 FC-1201 86.00 DA  
02 FC-1202 54.00 DA

09/15/2004 EAREGAY1 00000117 500417 09817056

01 FC-1801 770.00 DA



Docket No.: 50432-067

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 20277  
Kai YANG, et al. : Confirmation Number: 2813  
Serial No.: 09/817,056 : Group Art Unit: 2811  
Filed: March 27, 2001 : Examiner: T. NGUYEN  
For: STABILIZING FLUORINE ETCHING OF LOW-K MATERIALS

**AMENDMENT UNDER 37 C.F.R. § 1.114**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following Amendment and Remarks are submitted pursuant to 37 C.F.R. § 1.114 in response to the Office Action dated August 17, 2004, together with a Request for Continued Examination (RCE) filed concurrently herewith.

)

09/15/2004 EAREGAY1 00000117 09817056

01 FC:1201 86.00 DA  
02 FC:1202 54.00 DA

Docket No.: 50432-067

SEP 14 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

RCE/2811  
ITW

In re Application of

: Customer Number: 20277

Kai YANG, et al.

: Confirmation Number: 2813

Serial No.: 09/817,056

: Group Art Unit: 2811

Filed: March 27, 2001

: Examiner: T, NGUYEN

For: STABILIZING FLUORINE ETCHING OF LOW-K MATERIALS

Mail Stop Amendment Transmittal

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Amendment in the above-identified application.

☐  
☐  
☐

No additional fee is required.

Applicant is entitled to small entity status under 37 CFR 1.27

Also attached:

The fee has been calculated as shown below:

|                                 | NO. OF<br>CLAIMS | HIGHEST<br>PREVIOUSLY<br>PAID FOR | EXTRA<br>CLAIMS | RATE      | FEE      |
|---------------------------------|------------------|-----------------------------------|-----------------|-----------|----------|
| Total Claims                    | 26               | 23                                | 3               | \$18.00 = | \$54.00  |
| Independent Claims              | 4                | 3                                 | 1               | \$86.00 = | \$86.00  |
| Multiple claims newly presented |                  |                                   |                 |           | \$0.00   |
| Fee for extension of time       |                  |                                   |                 |           | \$0.00   |
|                                 |                  |                                   |                 |           | \$0.00   |
| Total of Above Calculations     |                  |                                   |                 |           | \$140.00 |

☒ Please charge my Deposit Account No. 500417 in the amount of \$140.00. An additional copy of this transmittal sheet is submitted herewith.

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. 500417, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

MODER MOTT WILL & EMERY LLP

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Registration No. 26,106

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Date: September 13, 2004